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# Non-Perturbative Field Theory-

From two dimensional conformal field theory  
to QCD in four dimensions

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## Abstract

This note is based on the summary of our book entitled “Non-perturbative field theory-from two dimensional conformal field theory to QCD in four dimensions”, published recently by *Cambridge University Press*. It includes 436 pages.

The book provides a detailed description of the tool box of non-perturbative techniques, presents applications of them to simplified systems, mainly of gauge dynamics in two dimensions, and examines the lessons one can learn from those systems about four dimensional QCD and hadron physics.

In particular the book deals with conformal invariance, integrability, bosonization, large  $N$ , solitons in two dimensions and monopoles and instantons in four dimensions, confinement versus screening and finally the hadronic spectrum and scattering.

We also attach the table of contents and the list of references of the book.

**We would be grateful for any comments or suggestions related to the material in the book. These may be incorporated in a possible future edition. They may be sent via the e-mails below.**

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# 1 General

Relativistic Quantum Field Theory has been very successful in describing strong, electromagnetic and weak interactions, in the region of small couplings by using perturbation theory, within the framework of the Standard Model.

However, the region of strong coupling, like the hadronic spectrum and various scattering phenomena of hadrons within QCD, is still largely unsolved.

A large variety of methods have been used to address this question, including QCD sum rules, lattice gauge simulations, light cone quantization, low energy effective Lagrangians like the Skyrme model and chiral Lagrangians, large  $N$  approximation, techniques of conformal invariance, integrable model approach, supersymmetric models, string theory approach etc. In spite of this major effort the gap between the phenomenology and the basic theory has been only partially bridged, and the problem is still open.

The goals of this book are to provide a detailed description of the tool box of non-perturbative techniques, to apply them on simplified systems, mainly of gauge dynamics in two dimensions, and to examine the lessons one can learn from those systems about four dimensional QCD and hadron physics.

The study of two dimensional models in order to improve the understanding of four dimensional physical systems was found to be fruitful. This can be achieved following two different approaches. In the first one applies non-perturbative methods on the simpler two dimensional model, extract the physical behavior and extrapolate it to four dimensions. The second is based on gaining insight on the methods by first applying them first in two dimensions, then apply the analogous methods on the four dimensional system and deduce certain physical properties of it.

This follows two directions, one is the utilization of non-perturbative methods on simpler setups and the second is extracting the physical behavior of hadrons in one space dimension.

Obviously, physics in two dimensions is simpler than that of the real world since the underlying manifold is simpler and since the number of degrees of freedom of each field is smaller. There are some additional simplifying features in two dimensional physics. In one space dimension there is no rotation symmetry and no angular momentum. The light cone is disconnected and is composed of left moving and right moving branches. Therefore, massless particles are either on one branch or the other. These two properties are the basic building blocks of the idea of transmutation between systems of different statistics. Also, the ultra-violet behavior is more convergent in two dimensions, making for instance  $QCD_2$  a superconvergent theory.

In this summary note we go over several notions, concepts and methods with an emphasis on the comparison between the two and four dimensional worlds and on what one can deduce about the latter from the former. In particular we deal with conformal

invariance, integrability, bosonization, large N, solitons in two dimensions and monopoles and instantons in four dimensions, confinement versus screening, and finally the hadronic spectrum and scattering. We end this note with an brief outlook which includes several comments on (i) further progress in the application of the methods discussed in the book, (ii) applications to other domains and developments in gauge dynamics due to other methods. The table of content of the book and the bibliography of the book are added as appendices.

## 2 Conformal Invariance

From the onset there is a very dramatic difference between conformal invariance in two and four dimensions. The former is characterized by an infinite dimensional algebra, the Virasoro algebra, whereas the latter is associated with the finite dimensional algebra of  $SO(4, 2)$ . This basic difference stems from the fact that whereas the conformal transformations in four dimensions are global, in two dimensions the parameters of conformal transformations are holomorphic functions (or anti-holomorphic). Nevertheless there are several features of conformal invariance which are common to the two cases. We will now compare various aspects of conformal invariance in two and four dimensions.

- The notion of a primary field and correspondingly a highest weight state is used both in two dimensional conformal field theories as well as for the four dimensional collinear algebra.<sup>1</sup> It is expressed for the former as

$$L_0[\phi(0)|0\rangle] = h[\phi(0)|0\rangle] \quad L_n[\phi(0)|0\rangle] = 0, \quad n > 0 \quad (1)$$

and for the latter

$$L_0[\Phi(0)|0\rangle] = j[\Phi(0)|0\rangle] \quad L_-[\Phi(0)|0\rangle] = 0, \quad (2)$$

The difference is of course the infinite set of annihilation operators  $L_n$  versus the single annihilation operator  $L_-$  in four dimensions.

- The COPE, the conformal operator product expansion, has a compact form in two dimensional CFT

$$\mathcal{O}_i(z, \bar{z})\mathcal{O}_j(w, \bar{w}) \sim \sum_k C_{ijk}(z-w)^{h_k-h_i-h_j}(\bar{z}-\bar{w})^{\bar{h}_k-\bar{h}_i-\bar{h}_j}\mathcal{O}_k(w, \bar{w}) \quad (3)$$

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<sup>1</sup> Define the lightcone coordinate  $x_-$  via  $x^\mu = x_-n_+^\mu + x_+n_-^\mu + x_T^\mu$  where  $n_+^\mu n_{+\mu} = n_-^\mu n_{-\mu} = 0$   $n_+^\mu n_{-\mu} = 1$ . The collinear group which is an  $SL(2, R)$  group, is defined by the following three transformations  $x_- \rightarrow x_- + a_-$ ,  $x_- \rightarrow ax_-$  and  $x_- \rightarrow \frac{x_-}{1+2\tilde{a}x_-}$  where  $\tilde{a}^\mu = an^\mu$

where  $C_{ijk}$  are the *product coefficients* while in four dimensions it reads

$$A(x)B(0) = \sum_{n=0}^{\infty} C_n \left( \frac{1}{x^2} \right)^{1/2(t_A+t_B-t_n)} \frac{x_-^{n+s_1+s_2-s_A-s_B}}{B(j_A-j_B+j_n, j_B-j_A+j_n)} \times \int_0^1 du u^{(j_A-j_B+j_n-1)} (1-u)^{(j_B-j_A+j_n-1)} \mathcal{O}_n^{j_1, j_2}(ux_-) \quad (4)$$

where the definitions of the various quantities are in Chapter 17 of the book. Again there is a striking difference between the simple formula in two dimensions and the complicated one in four dimensions.

- As an example let's compare the OPE of two currents. As is described in Chapter 3, the expression in two dimensions reads

$$J^a(z)J^b(w) = \frac{k\delta^{ab}}{(z-w)^2} + i \frac{f_c^{ab} J^c(w)}{(z-w)} + \text{finite terms} \quad (5)$$

for any non-abelian group, and in particular for the abelian case the second term on the RHS is missing. For comparison the OPE of the transverse components of the electromagnetic currents given in Chapter 17 takes the form

$$J^T(x)J^T(0) \sim \sum_{n=0}^{\infty} C_n \left( \frac{1}{x^2} \right)^{(6-t_n)/2} (-ix_-)^{n+1} \frac{\Gamma(2j_n)}{\Gamma(j_n)\Gamma(j_n)} \int_0^1 du [u(1-u)]^{j_n-1} \mathcal{Q}_n^{1,1}(ux_-) \quad (6)$$

- The conformal Ward identity associated with the dilatation operator in four dimensions

$$\sum_i^N (l_\phi + \gamma(g^*) + x_i \partial_i) < T\phi(x_1) \dots \phi(x_N) > = 0 \quad (7)$$

where  $l_\phi$  is the canonical dimension and  $\gamma(g^*)$  is the anomalous dimension, seems very similar to the one in two dimensions

$$\sum_i (z_i \partial_i + h_i) < 0 | \phi_1(z_1, \bar{z}_1) \dots \phi_n(z_n, \bar{z}_n) | 0 > = 0 \quad (8)$$

In both cases one has to determine the full quantum conformal dimensions of the various operators. However, as is shown in Chapter 2, in certain CFT models, like the unitary minimal models, there are powerful tools based on unitarity which enable us to determine exactly the dimensions  $h_i$  of all the primary operators and hence all the operators of the model. On the other hand, it is a non-trivial task

to determine the anomalous dimensions in other models in two dimension, and of course of four dimensional operators. In certain supersymmetric theories there are operators whose dimension is protected <sup>2</sup>, but generically one has to use perturbative calculations to determine the anomalous dimensions of gauge theories to a given order in the coupling constant.

Using the Ward identity one can extract the form of the two point function of operators of spin  $s$  in four dimensions. It is given by

$$\langle \phi(x_1)\phi(x_2) \rangle = N_2(g^*)(\mu^*)^{-2\gamma(g^*)} \left[ \frac{1}{(x_1 - x_2)^2} \right]^{l_\phi + \gamma(g^*)} \left( \frac{(x_1 - x_2)_+}{(x_1 - x_2)_-} \right)^s \quad (9)$$

The corresponding two point function in two dimensions, which depends only on the conformal dimension of the operator  $h$ , reads

$$G_2(z_1, \bar{z}_1, z_2, \bar{z}_2) \equiv \langle 0 | \phi_1(z_1, \bar{z}_1) \phi_1(z_2, \bar{z}_2) | 0 \rangle = \frac{c_2}{(z_1 - z_2)^{2h_1} (\bar{z}_1 - \bar{z}_2)^{2\bar{h}_1}} \quad (10)$$

- As for higher point functions, it is shown in Chapter 2 that one can use the local Ward identities together with Virasoro null vectors to write down a partial differential equations that determine the correlators. The result for a four point function was later used to determine the four point function of the Ising model.
- Two dimensional conformal field theories are further invariant under affine Lie algebra transformations, and as is shown in Chapter 3 those can be combined with null vectors to derive the so called Knizhnik-Zamolodchikov equations, which can be used to solve for the four-point function of the  $SU(N)$  WZW model ( see Chapter 4). This type of differential equations that fully determine correlation functions are obviously absent in four dimensional interacting conformal field theories.

### 3 Integrability

Integrability is discussed in Chapter 5 in the context of two dimensional models and in Chapter 18 in four dimensional gauge theories. For systems with a finite number of degrees of freedom, like spin chain models, there is a finite number of conserved charges, equal of course to the number of degrees of freedom. For integrable field theories there is an infinite countable number of conserved charges. Furthermore, the scattering processes of those models always involve a conservation of the number of particles.

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<sup>2</sup>Of course besides the usual operators with no anomalous dimensions, like conserved currents, energy-momentum tensor, and the like

Spin chain	Planar $\mathcal{N} = 4$ SYM	High energy scattering in QCD
Cyclic spin chain	Single trace operator	Reggeized gluons in t-channel
Spin at a site	Field operator	$SL(2)$ spin
Number of sites	Number of operators	Number of gluons
Hamiltonian	Anomalous dilatation operator	$H_{BFKL}$
Energy eigenvalue	Anomalous dimension $g^{-2}\delta\mathcal{D}$	$\sim \frac{1}{\lambda} \frac{\log \mathcal{A}}{\log s}$
evolution time	dilatation variable	the total rapidity $\log s$
Zero momentum $U = 1$	Cyclicity constraint	

Table 1: spin chain structure of the two dimensional model and the four dimensional gauge systems of  $\mathcal{N} = 4$  SYM and of high-energy behavior of scattering amplitudes in QCD.

In two dimensions we have encountered continuous integrable models like the sine-Gordon model as well as discretized ones like the XXX spin chain model. The integrable sectors of gauge dynamical systems are based on identifying an exact map between certain properties of the systems and a spin chain structure. In two dimensions the spin chain models follow from a discretization of the space coordinate, by placing a spin variable on each site that can take several values and imposing periodicity. In the four dimensional  $\mathcal{N} = 4$  super YM theory the spin chain corresponds to a trace of field operators and in the process of high-energy scattering it is a “chain” of reggeized gluons exchanged in the t-channel of a scattering process. A summary of the comparison among the basic two dimensional spin chain, the “spin chains” associated with the planar  $\mathcal{N} = 4$  SYM, and the high energy scattering in QCD, is given in table 1. . A powerful method to solve all these spin chain models is the use of the algebraic Bethe ansatz. This is discussed in details for the the  $XXX_{1/2}$  model in Chapter 5. The solutions of the energy eigenvalues needed for the high energy scattering process was based on generalizing this method to the case of spin  $s$  Heisenberg model and for the  $\mathcal{N} = 4$  to the case of an  $SO(6)$  invariance.

There is one conceptual difference between the spin chains of the two dimensional models and those associated with the  $\mathcal{N} = 4$  SYM in four dimensions. In the former the models are non conformal, involving a scale, and hence also with particles and an S-

matrix. The integrable sectors of four dimensional gauge theories, however, are conformal invariant.

The study of integrable models in two dimensions is quite mature, whereas the application of integrability to four dimensional systems is at an infant stage. The concepts of multi-local charges and of quantum groups, discussed in Chapter 5, have been applied only slightly to gauge dynamical systems in four dimensions.

## 4 Bosonization

Bosonization is the formulation of fermionic systems in terms of bosonic variables and fermionization is just the opposite process. The detailed discussion is in Chapter 6. The study of bosonized physical systems offers several advantages:

- (1) It is usually easier to deal with commuting fields rather than anti-commuting ones.
- (2) In certain examples, like the Thirring model, the fermionic strong coupling regime turns into the weak coupling one in its bosonic version, the Sine-Gordon model.
- (3) The non-abelian bosonization, especially in the product scheme, offers a separation between colored and flavored degrees of freedom, which is very convenient for analyzing the low lying spectrum.
- (4) Baryons composed of  $N_C$  quarks are a many-body problem in the fermion language, while simple solitons in the boson language.
- (5) One loop fermionic computations involving the currents turn into tree level consideration in the bosonized version. The best known example of the latter are the chiral (or axial) anomalies.

In four dimensions, spin is obviously non-trivial and one cannot constitute generically a bosonization equivalence. However, in certain circumstances a four dimensional system can be described approximately by fields that depend only on the time and the radial direction. In those cases one can apply the bosonization technique. Examples of such scenarios are monopole induced proton decay, and fractional charges induced on monopoles by light fermions. In these cases the relevant degrees of freedom are in an s-wave and hence taken to depend only on the time and the radial direction. This enables one to use the corresponding bosonized field. There is a slight difference with two dimensions, as the radial coordinate goes from zero to infinity, so "half" a line. Appropriate boundary conditions enable to use a reflection, so to extend to a full line.

## 5 Topological field configurations

- The topological charges in any dimensions are conserved regardless of the equations of motion of the corresponding systems. In two dimensions it is very easy to write



down a current which is conserved without the use of the equations of motion. This is referred to as a topological conservation. Consider a scalar field  $\phi$  or its non-abelian analog which is expressed in terms of a group element  $g \in G$  of a non-abelian group  $G$ , then the following currents are abelian and non-abelian conserved currents

$$J_\mu = \epsilon_{\mu\nu} \partial^\nu \phi \quad J_\mu = \epsilon_{\mu\nu} g^{-1} \partial^\nu g \quad (11)$$

Recall that for a system that admits, for instance in abelian case, also a current  $J_\mu = \partial_\mu \phi$  that is conserved upon the use of the equations of motion, one can then replace the two currents with left and right conserved currents  $J_\pm = \partial_\pm \phi$  or  $J = \partial \phi$  and  $\bar{J} = \bar{\partial} \phi$  using complex coordinates. The charge associated with the topological conserved current is given by

$$Q_{top} = \int dx \phi' = [\phi(t, +\infty) - \phi(t, -\infty)] \equiv \phi_+ - \phi_- \quad (12)$$

where the space dimension is taken to be  $\mathcal{R}$ . For a compactified space dimension, namely an  $S^1$  this charge vanishes, except for cases where the field is actually an angle variable, in which case the charge is  $2\pi$ . The latter appears in the case of U(1) gauge theory in two dimensions, where there is a winding number.

- Obviously one cannot have such topologically conserved currents and charges in four dimensions. However, for theories that are invariant under a non-abelian group, one can construct also in four dimensions a topological current and charge, like for the cases of Skyrmons, magnetic monopoles and instantons. For the Skyrmons the topological current is given by

$$J_{skyre}^\mu = \frac{i\epsilon^{\mu\nu\rho\sigma}}{24\pi^2} Tr[L_\nu L_\rho L_\sigma] \quad (13)$$

where  $L_\mu = U^\dagger \partial_\mu U$  with  $U \in SU(N_f)$

- The topological charges, for compact spaces, are the winding numbers of the corresponding topological configurations. For a compact one space dimension, we have the map of  $S^1 \rightarrow S^1$  related to the homotopy group  $\pi_1(S^1)$ . In two space dimensions, the windings are associated with the map  $S_2 \rightarrow S_2^{G/H}$ , as for the magnetic monopoles. For three space dimensions, it is  $S^3 \rightarrow S^3$  for the Skyrmons at  $N_f = 2$ , and the non-abelian instantons for the gauge group  $SU(2)$ . The topological data of the various models is summarized in table 2.
- According to Derrick's theorem, for a theory of a scalar field with an ordinary kinetic term with two derivatives, and any local potential at  $D \geq 2$ , the only non-singular time-independent solutions of finite energy are the vacua. However, as is described

Table 2: Topological classical field configurations in two and four dimensions

classical field	dim.	map	topological current
soliton	two		$\epsilon^{\mu\nu}\partial_\nu\phi$
baryon	two	$S^1 \rightarrow S^1$	$\epsilon^{\mu\nu}Tr[g^{-1}\partial_\nu g]; \ g \in U(N_f)$
Skyrmion	four	$S^3 \rightarrow S^3$	$\frac{i\epsilon^{\mu\nu\rho\sigma}}{24\pi^2}Tr[L_\nu L_\rho L_\sigma]$
monopole	four	$S^2_{space} \rightarrow S^2_{G/H}$	$\frac{1}{8\pi}\epsilon_{\mu\nu\rho\sigma}\epsilon^{abc}\partial^\nu\hat{\Phi}^a\partial^\rho\hat{\Phi}^b\partial^\sigma\hat{\Phi}^c$
instanton	four	$S^3_s \rightarrow S^3_g$	$\frac{i\epsilon^{\mu\nu\rho\sigma}}{16\pi^2}Tr[A_\nu\partial_\rho A_\sigma + \frac{2}{3}A_\nu A_\rho A_\sigma]$

in Chapters 20-22, there are solitons in the form of Skyrmions and monopoles and instantons. Those configurations bypass Derrick's theorem by introducing higher derivative terms or including non-abelian gauge fields.

- As is emphasized in Chapter 20, the extraction of the baryonic properties in the Skyrme model is very similar to the one for baryons in the bosonized theory in two dimensions. Unlike the latter which is exact in the strong coupling limit, one cannot derive the former starting from the underlying theory. Another major difference between the two models is of course the existence of angular momentum only in the four dimensional case.
- A non-trivial task associated with topological configurations is the construction of configurations that carry multipole topological charge, for instance a multi-baryon state both of the bosonized  $QCD_2$  as well as of the Skyrme model, a multi-monopole solution and a multi-instanton solution. For the two dimensional baryons (see Chapter 13) the construction is a straightforward generalization of the configuration of baryon number one. For the multi-monopoles solutions the book describes Nahm's construction, and for the multi-instantons the ADHM construction. These constructions, which are in fact related, are much more complicated than that for the two dimensional multi-baryons.
- A very important phenomenon that occurs in both two and four dimensions is the strong-weak duality, and the duality between a soliton and an elementary field. In two dimensions we have encountered this duality in the relation between the Thirring model and the sine-Gordon model, where the coupling of the latter  $\beta$  is related to that of the former  $g$  as (Chapter 6)

$$\frac{\beta^2}{4\pi} = \frac{1}{1 + \frac{g}{\pi}} \quad (14)$$

This also relates the elementary fermion field of the Thirring model with the soliton of the sine-Gordon model. In particular for  $g = 0$  corresponding to  $\beta^2 = 4\pi$ , the

Thirring model describes a free Dirac fermion, while the soliton of the corresponding sine-Gordon theory is the same fermion in its bosonization disguise. An analog in four dimensions is the Olive-Montonen duality discussed in Chapter 21, which relates the electric charge  $e$  with the magnetic one  $e_M = \frac{4\pi}{e}$ , where the former is carried by the elementary states  $W^\pm$  and the latter by the magnetic monopoles.

## 6 Confinement versus screening

The naive intuition tells us that dynamical quarks in the fundamental representation can screen external sources in the fundamental representation, dynamical adjoint quarks can screen adjoint sources, but that dynamical adjoint cannot screen fundamentals. It turns out that in two dimensions this is not the case, and massless adjoint quarks can screen an external source in the fundamental representation. Moreover any massless dynamical field will necessarily be in the screening phase. The argument is that in all cases considered in the book we have found that the string tension is proportional to the mass of the dynamical quarks

$$\sigma \sim mg \quad (15)$$

where  $m$  is the mass of the quark and  $g$  is the gauge coupling, and hence for the massless case it vanishes. This is shown in Chapter 14 based on performing a chiral rotation that enables us to eliminate the external sources and compute the string tension as the difference between the Hamiltonian of the system with the external sources and the one without them namely

$$\sigma = \langle H \rangle - \langle H_0 \rangle \quad (16)$$

It seems as if the situation in two dimensions is very different than in four dimensions. From the onset there is a dramatic difference between two and four dimensions relating to the concept of confining theory. In two dimensions both the coulomb (abelian) potential and the non-abelian one are linear with the separation distance  $L$  whereas obviously the coulomb potential between two particles behaves like  $1/L$  while the confining one is linear with  $L$ . However, that does not explain the difference between two and four dimensions, it merely means that in two dimensions the coulomb and confining potentials behave in the same manner. The determination of the string tension in two dimensions cannot be repeated in four dimensions. The reason is that in the latter case the anomaly is not linear in the gauge fields and thus one cannot use the chiral rotation to eliminate the external quark anti-quark pair. That does not imply that the situation in four dimensions differs from the two dimensional one, it just means that one has to use different methods to compute the string tension in four dimensions.

What are the four dimensional systems that might resemble the two dimensional case of dynamical adjoint matter and external fundamental quarks? A system with external quarks in the fundamental representation in the context of pure YM theory seems a possible analog since the dynamical fields, the gluons, are in the adjoint representation, though they are vector fields and not fermions. An alternative is the  $\mathcal{N} = 1$  SYM where in addition to the gluons there are also gluinos which are majorana fermions in the adjoint representation. Both these cases should correspond to the massless adjoint case in two dimensions. The latter admits a screening behavior whereas the four dimensional models seem to be in the confining phase. This statement is supported by several different types of calculations in particular for the non supersymmetric case this behavior is found in lattice simulations.

At this point we cannot provide a satisfactory intuitive explanation why the behavior in two and four dimensions is so different. There is also no simple picture of how the massless adjoint dynamical quarks in two dimensions are able to screen external charges in the fundamental representation.

It is worth mentioning that there is ample evidence that four dimensional hadronic physics is well described by a string theory. This is based for instance on realizing that mesons and baryons in nature admit Regge trajectory behavior which is an indication of a stringy nature. Any string theory is by definition a two dimensional theory and hence a very basic relation between four dimensional hadron physics and two dimensional physics.

In addition to the ordinary string tension which relates to the potential between a quark and anti-quark in the fundamental representation, one defines the  $k$  string that connects a set of  $k$  quarks with a set of  $k$  anti-quarks. This object has been examined in four dimensional YM as well as four dimensional  $\mathcal{N} = 1$  SYM. These two cases seem to be the analog of the two dimensional QCD theory with adjoint quarks and with external quarks in a representation that is characterized by  $k$  boxes in the Young tableau description. In Chapter 14 we present an expression for the string tension as a function of the representation of the external and dynamical quarks and in particular for dynamical adjoint fermions and external quarks in the  $k$  representation. If there is any correspondence between the four dimensional adjoint matter field and the two dimensional adjoint quarks it must be with massive adjoint quarks since for the massless case, as was mentioned above, the two dimensional string tension vanishes whereas the four dimensional one does not. Thus one may consider a correspondence for a softly broken  $\mathcal{N} = 1$  case where the gluinos are massive.

In two dimensions for the pure YM case we found that the string tension behaves like  $\sigma \sim g^2 k_{ext}^2$  while a Wilson line calculation yields  $\sigma \sim g^2 C_2(R)$ , where  $C_2(R)$  is the second Casimir operator in the  $R$  representation of the external quarks. For the QCD case of

general  $k$  external charges and adjoint dynamical quarks we found

$$\sigma_k^{2d} \sim \sin^2\left(\frac{\pi k}{N}\right) \quad (17)$$

whereas in four dimensions it is believed that for general  $k$ , the string tension either follows a Casimir law or a sinusoidal rule

$$\sigma_k^{cas} \sim \frac{k(N-k)}{N} \quad \sigma_k^{sin} \sim \sin\left(\frac{\pi k}{N}\right) \quad (18)$$

As expected all these expressions are invariant under  $k \rightarrow N - k$  which corresponds for antisymmetric representations replacing a quark with an anti-quark.

## 7 Hadronic phenomenology of two dimensions versus four dimensions

$QCD_2$  was addressed first in the fermionic formulation in the seminal work 't Hooft where the mesonic spectrum in the large  $N_C$  limit was determined. In the book we have presented three additional approaches to the hadronic spectra in two dimensions: (i) the currentization method for massless quarks for the entire plane of  $N_C$  and  $N_f$ , (ii) the DLCQ approach to extract the mesonic spectrum for the case of fundamental as well as adjoint quarks and finally (iii) the bosonized formulation in the strong coupling limit to determine the baryonic spectrum. As for the four dimensional hadronic spectrum we described in the book the use of the large  $N_C$  planar limit and the analysis of the baryonic world using the Skyrme model. It is worth mentioning again that whereas in the four dimensional case the Skyrme approach is only an approximated model derived by an “educational guess”, in two dimension the action in the strong coupling regime is exact.

### 7.1 Mesons

As was just mentioned the two dimensional mesonic spectrum was extracted using the large  $N_C$  approximation in the fermionic formulation for  $N_f = 1$  ('t Hooft model), also by using the currentization for massless quarks and the DLCQ approach that can be applied for both the cases of quarks in the fundamental representation and the adjoint representation. For the particular region of  $N_C \gg N_f$  and  $m = 0$ , the fermionic large  $N_c$  and the currentization treatments yielded identical results. In fact this result is achieved also using the DLCQ method for adjoint fermions upon a truncation to a single parton and replacing  $g^2$  with  $2g^2$  (see Chapter 12). For massive fundamental quarks the DLCQ

results match very nicely those of lattice simulations and the large  $N_c$  calculations, as can be seen from figures 12.1 and 12.2 in the book.

In all these methods the corresponding equations do not admit exact analytic solutions for the whole range of parameters and thus one has to resort to numerical solutions, however, in certain domains one can determine the analytic behavior of the wavefunctions and masses.

The spectrum of mesons in two dimensions is characterized by the dependence of the meson masses  $M_{mes}$  on the gauge coupling  $g$ , the number of colors  $N_c$ , the number of flavors  $N_f$ , the quark mass  $m_q$ , and the excitation number  $n$ . In four dimensional  $QCD$  the meson spectra depend on the same parameters apart from the fact that  $\Lambda_{QCD}$  the  $QCD$  scale is replacing the two dimensional gauge coupling. The following lines summarize the properties of the spectrum:

- The highly excited states  $n \gg 1$ , where  $n$  is the excitation number, are characterized by

$$M_{mes}^2 \sim \pi g^2 N_c n \quad (19)$$

This seems to fit the behaviors of mesons in nature. This behavior is referred to as a Regge trajectory and it follows easily from a bosonic string model of the meson. Following this analogy, the role of the string tension in two dimensional model is played by  $g^2 N_c$ . This seems to be in contradiction with the statement that the string tension is proportional to  $m_q g$ .

It is very difficult to derive the Regge trajectory behavior from direct calculations in four dimensional QCD.

- The opposite limit of low lying states and in particular the ground state can be deduced in the limit of large quark masses, namely  $m_q \gg g$  and small quark masses  $g \gg m_q$ . For the ground state in the former limit we find

$$M_{mes}^0 \cong m_1 + m_2 \quad (20)$$

where  $m_i$  are the masses of the quark and anti-quark. In the opposite limit of  $m_q \ll g$

$$(M_{mes}^0)^2 \cong \frac{\pi}{3} \sqrt{\frac{g^2 N_c}{\pi}} (m_1 + m_2) \quad (21)$$

For the special case of massless quarks we find a massless meson. This is very reminiscent of the four dimensional picture. For the massless case this should

compare with the massless pions and for small masses this is similar to the pseudo-Goldstone boson relation where

$$m_\pi^2 \sim \frac{\langle \bar{\psi}\psi \rangle}{f_\pi^2} (m_1 + m_2) \quad (22)$$

- The 't Hooft model cannot be used to explore the dependence on  $N_f$  the number of flavors. This can be done from the 't Hooft like equations derived in Chapter 11. It was found out that for the first massive state there is a linear dependence of the meson mass squared on  $N_f$

$$M_{mes}^2 \sim N_f \quad (23)$$

We are not aware of a similar behavior of the mesons in four dimensions.

- The 't Hooft model provides the solution of the meson spectrum in the planar limit in two dimensions. The planar, namely large  $N_c$  limit, in four dimensions is too complicated to be similarly solved. As explained in Chapter 19 one can extract the scaling dependence in  $N_c$  of certain hadronic properties like the mass the size and scattering amplitude, but the full determination of the hadronic spectrum and scattering is still unresolved. A tremendous progress has been made in the understanding of the supersymmetric theory of  $\mathcal{N} = 4$  partly by demonstrating that certain sectors of it can be described by integrable spin chain models (see Chapter 18).
- As is demonstrated in Chapter 12 the DLCQ method has been found very effective to address the spectrum of mesons of two dimensional QCD. This raises the question of whether one can use the DLCQ method to handle the spectrum of four dimensional QCD. This task is clearly much more difficult. En route to the extraction of the hadronic spectrum of  $QCD_4$  an easier system has been analyzed. It is that of the collinear QCD (see Chapter 17) where in the Hamiltonian of the system one drops off all interaction terms that depend on the transverse momenta. In this effective two dimensional setup the transverse degrees of freedom of the gluon are retained in the form of two scalar fields. This system which was not described in the book has actually been solved and complete bound and continuum spectrum were extracted as well as the Fock space wavefunctions.

## 7.2 Baryons

Chapter 13 of the book describes the spectrum of baryons in multiflavor two dimensional QCD in the strong coupling limit  $\frac{m_q}{e_c} \rightarrow 0$ . The four dimensional baryonic spectrum is

Table 3: Scaling of Baryon masses with  $N_C$  in two and four dimensions

	two dimensions	four dimensions
Classical baryon mass	$N_C$	$N_C$
Quantum correction	$N_C^0$	$N_C^{-1}$

discussed in the large  $N_C$  limit in Chapter 19 and using the Skyrme model approach in Chapter 20. We would like now to compare these spectra and to investigate the possibility of predicting four dimensional baryonic properties from the simpler two dimensional model. In the former case the mass is a function of the QCD scale  $\Lambda_{QCD}$ , the number of colors  $N_C$  and the number of flavors  $N_f$ , and in the latter it is a function of  $e_c$ ,  $N_C$  and  $N_f$ . Thus it seems that the dimensionful gauge coupling in two dimensions is the analog of  $\Lambda_{QCD}$  in four dimensions.

- In two dimensions, in the strong coupling limit, the mass of the baryon was found to be

$$E = 4m\sqrt{\frac{2N_C}{\pi}} + m\sqrt{2}\sqrt{\left(\frac{\pi}{N_C}\right)^3} \left[ C_2 - N_C^2 \frac{(N_F - 1)}{2N_F} \right] \quad (24)$$

where the classical mass  $m$  is given by

$$m = [N_C c m_q (\frac{e_c \sqrt{N_F}}{\sqrt{2\pi}})^{\Delta_C}]^{\frac{1}{1+\Delta_C}} \quad (25)$$

with  $\Delta_C = \frac{N_C^2 - 1}{N_C(N_C + N_F)}$ . Due to the fact that in two dimensions there is no spin, the structure of the spectrum with respect to the flavor group is obviously different in two and four dimensions. For instance the lowest allowed state for  $N_C = N_f = 3$  is in two dimensions the totally symmetric representation **10**, where as it is the mixed representation **8** in four dimensions.

- Let us discuss now the scaling with  $N_C$  in the large  $N_C$  limit. In two dimensions the classical term behaves like  $N_C$ , while the quantum correction like 1. The classical result is in accordance with the result, derived when the large  $N$  expansion is applied to the baryonic system (Chapter 19), and with the Skyrmon result (Chapter 20). However, whereas in two dimensions the quantum correction behaves like  $N_c^0$  namely suppressed by a factor of  $\frac{1}{N_c}$ , in four dimensions it behaves like  $\frac{1}{N_c}$  namely a suppression of  $\frac{1}{N_c^2}$ . This is summarized in table 3.
- In terms of the dependence on the number of flavors, it is interesting to note that both in two dimensions and in four dimensions, the contribution to the mass due to the quantum fluctuations is proportional to the second Casimir operator associated with the representation of the baryonic state under the  $SU(N_f)$  flavor group<sup>3</sup>.

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<sup>3</sup>compare (24) with (68) of Chapter 20



Table 4: flavor content of two dimensional and four dimensional baryons

	two dimensions		four dimensions	
	state	value	state	value
$\langle \bar{u}u \rangle$	$\Delta^+$	$\frac{1}{2}$	$p$	$\frac{2}{5}$
$\langle \bar{d}d \rangle$	$\Delta^+$	$\frac{1}{3}$	$p$	$\frac{11}{30}$
$\langle \bar{s}s \rangle$	$\Delta^+$	$\frac{1}{6}$	$p$	$\frac{7}{30}$
$\langle \bar{s}s \rangle$	$\Delta^{++}$	$\frac{1}{6}$	$\Delta$	$\frac{7}{24}$
$\langle \bar{s}s \rangle$			$\Omega^-$	$\frac{5}{24}$

- Another property of the baryonic spectrum that can be compared between the two and four dimensional cases is the flavor content of the various states. In Chapter 13 we presented the  $\bar{u}u, \bar{d}d$  and  $\bar{s}s$  content for the  $\Delta^+$  and  $\Delta^{++}$  states. Recall that in the two dimensional model for  $N_C = N_f = 3$  we do not have a state in the **8** representation but only in the **10** so strictly speaking there is no exact analog of the proton. Instead we take the charge  $=+1$   $\Delta^+$  as the two dimensional analog of the proton. In the Skyrme model one can compute in a similar manner the flavor content of the four dimensional baryons. The two and four dimensional states compare as is summarized in table 4.

## 8 Outlook

We can imagine future developments associated with the topics covered in the book in three different directions: Further progress in the application of the methods discussed in the book to unravel the mysteries of gauge dynamics in nature, applications of the methods in other domains of physics not related to four dimensional gauge theories and improving our understanding of the strong interaction and hadron physics due to other non-perturbative techniques that were not discussed in the book. Let us now briefly fantasize on hypothetical developments in those three avenues.

### 8.1 Further progress in the application of the methods discussed in the book

- A lesson that follows from the book is that the exploration of physical systems on one space dimension is both simpler to handle and sheds light on the real world so there are plenty of other unresolved questions that could be explored first in two dimensions. This includes exploration of the full standard model and the physics beyond the standard model including supersymmetry and its dynamical breaking,

large extra dimensions, compositeness etc.

- There has been a tremendous development in recent years in applying methods of integrable models and in particular of spin chains, like the thermal Bethe ansatz, to  $\mathcal{N} = 4$  SYM theory, namely, in the context of supersymmetric conformal gauge theory. We have no doubt that there will be further development in computing the anomalous dimensions of gauge invariant operators and correlators.
- Moreover, one can identify in a similar manner to  $\mathcal{N} = 4$  SYM theory a spin chain structure in gauge theories which are confining and with less or even no supersymmetries. In that case the spin chain Hamiltonian would not correspond to the dilatation operator but rather be associated with the excitation energies of hadrons.
- It is plausible that the full role of magnetic monopoles and of instantons has not yet been revealed. They have already had several reincarnations and there may be more. For instance there was recently a proposal to describe baryons as instantons which are solitons of a five dimensional flavor gauge theory in curved five dimensions.

## 8.2 Applications to other domains

- A very important application of two dimensional conformal symmetry has been to superstring theories. A great part of the developments in superstring theories is attributed to the infinite dimensional conformal symmetry algebra. In fact it went in both directions and certain progress in understanding the structure of conformal invariance has emerged from the research of string theories. A similar symbiotic evolution took place with regard to the affine Lie algebras.
- String theories and in particular the string theory on  $AdS_5 \times S^5$  have recently been analyzed using the tools of integrable models like mapping to spin chains, using the Behte ansatz equations, identifying a set of infinitely many conserved charges and using structure of Yangian symmetry.
- Spin chain models have been suggested to describe systems of “real” spins in condensed matter physics. As is discussed in this book the application of the corresponding tools to field theory systems has been quite fruitful. The opposite direction will presumably also take place and the use of properties of integrability that were understood in field theories will shed new light on certain condensed matter systems.

- The application of conformal invariance to condensed matter systems at criticality has a long history. There has been recently an intensive effort to further develop the understanding of systems like various superconductors, fractional Hall effect and other systems using modern conformal symmetry techniques.

### 8.3 Developments in gauge dynamics due to other methods

- An extremely important framework for analyzing gauge theories has been supersymmetry. Regardless of whether it is realized in nature or not it is evident that there are more tools to handle supersymmetric gauge theories and hence they are much better understood than non supersymmetric ones. One can gain novel insight into non supersymmetric theories by introducing supersymmetry breaking terms to well understood supersymmetric models. For instance one can start with the Seiberg-Witten solution of  $\mathcal{N} = 2$  where the structure of vacua is known and extract confinement behavior in  $\mathcal{N} = 1$  and non supersymmetric theories.
- A breakthrough in the understanding of gauge theories in the strong coupling regime took place with the discovery by Maldacena of the AdS/CFT holographic duality [160]. The strongly coupled  $\mathcal{N} = 4$  in the large  $N_c$  limit and large 't Hooft parameter  $\lambda$  is mapped into a weakly curved supergravity background. Thousands of research papers that followed develop this map in many different directions and in particular also in relation to the pure YM theory and QCD in four dimensions. There is very little doubt that further exploration of the duality will shed new light on QCD and on hadron physics.
- String theory had been born as a possible theory of hadron physics. It then underwent a phase transition into a candidate of the theory of quantum gravity and even a unifying theory of everything. In recent years, mainly due to the AdS/CFT duality there is a renaissance of the idea that hadrons at low energies should be described as strings. This presumably combined with the duality seems to be a useful tool that will improve our understanding of gauge dynamics.
- The computations of scattering amplitudes in gauge theories has been boosted in recent years due to various developments including the use of techniques based on twistors, on a novel T-duality in the context of the AdS/CFT duality and on a conjectured duality between Wilson lines and scattering amplitudes. One does not need a wild imagination to foresee a further progress in the industry of computing scattering amplitudes.

To summarize, non-perturbative methods have always been very important tools in exploring the physical world. We have no doubt that they will continue to be a very essential ingredient in future developments of science in general and physics in particular.

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